

**Technical Notice** **11-015**

**TO:** Montréal Exchange Participants  
**FROM:** Participant Connectivity Coordinator  
**SUBJECT:** **MX Participation in the SIFMA and FIA Business Continuity (BC) 2011 Test**  
**DATE:** July 11, 2011

The Montréal Exchange (MX) will be participating in the joint Securities Industry and Financial Markets Association (SIFMA) and Futures Industry Association’s (FIA) BC test on **Saturday, October 29, 2011**, including the pre-connectivity test held on **Saturday, October 1, 2011**.

During the SIFMA and FIA tests, MX Participants will be able to connect to the MX Production network (IP & ports) from their Disaster Recovery (DR) environment. MX will redirect participants to the MX DR environment in a seamless fashion.

TMX Group’s (TMX) Recovery Team will be utilizing TMX’s alternate backup facility to recover critical business processes. MX Participants will be able to verify connectivity and send/receive messages. Participants will not be required to make network, DNS, or IP changes in order to connect to the MX DR site during the SIFMA and FIA BC and pre-connectivity tests.

**Tests Registration**

MX Participants are expected to officially register on the FIA website (see link below) <http://www.futuresindustry.org/disaster/dr-test-registration.asp>.

or via the SIFMA website (link to be provided soon by SIFMA)

**Pre-Connectivity and BC Test Schedules**

SIFMA-FIA	Pre-Connectivity Test	SIFMA & FIA BC Test
Date:	October 1, 2011	October 29, 2011
MX Participants Start Time :	10:00 AM	9:00 AM
MX Participants End Time :	1:00 PM	1:00 PM

**Connection Information for Pre-Connectivity and BC Tests**

MX Participants will be required to connect to the MX Production environment via the MX Production network using production credentials, regardless of their environment.

MX Participants can test connectivity with the following services:

- FIX
- SAIL
- HSVF
- FIXTT
- STAMP

As stated in the TMX's IT policies, 'ping' testing is not permitted and therefore all participants will need to connect to MX systems in order to test connectivity. TMX's Recovery Team will reroute MX Participants' critical systems to the DR site on **Saturday, October 29, 2011**, and change the NAT settings from Production to DR in a transparent manner to participants.

### **Contact Information**

Further communication and details with regards to test symbols, stage schedule and contact information during the tests will be issued via email to MX Participants.

More information can be referenced on the official FIA 2011 BC test site at:

<http://www.futuresindustry.org/business-continuitydisaster-recovery.asp>

or on the official SIFMA 2011 BC test site at:

<http://www.sifma.org/Services/BCP/Industry-Testing/>

For additional information on this notice, please contact Edwige Poupart, Head of Client Services, MX Financial Markets, at 514-871-3590 or by e-mail at [epoupart@m-x.ca](mailto:epoupart@m-x.ca)

We thank you for your cooperation.

Participant Connectivity Coordinator