

CIRCULAR 014-24


February 12, 2024



THE REGULATORY DIVISION PARTICIPANT SCORECARD

The Regulatory Division (the “Division”) is pleased to issue the Regulatory Division Participant Scorecard (the “Scorecard”) for the period of July 1 to December 31, 2023. The Scorecard is a way for the Division to share its observations with Approved Participants and Foreign Approved Participants (collectively, the “Participants”) for informational purposes only. Some Participants will not receive a Scorecard, as it may not be customized to their business model or activities.

Participants, through their Designated Representatives, Chief Compliance Officers, and Portal Administrators, may access the content of their Scorecard via the [Participant Portal](#), including its methodology from the “Related” tab (see screenshot).

Related Details

 **Files (2)** Add Files

 Fiche de rendement 2023 S2 9-Feb-2024 • 145KB • pdf	 Scorecard Methodology 2023 H2 9-Feb-2024 • 101KB • pdf
---------------------------------------------------------------------------------------------------------------------------------------------------	------------------------------------------------------------------------------------------------------------------------------------------------------

[View All](#)

Any comparison to other Participants’ practices or level of compliance is made on an anonymous basis.

For more information or for any questions, please contact the Division:

- info.mxr@tmx.com
- 514-787-6530
- Toll-free from Canada and US at 1-800-361-5353 extension 46530
- Toll-free from the UK and France at 00 800 36 15 35 35 extension 46530

Karen McMeekin

President, Regulatory Division of Bourse de Montréal Inc.