

CIRCULAR 104-20

June 12, 2020

**REGULATORY DIVISION'S LOPR PORTAL
IMPORTANT REMINDER**

In 2016, the Regulatory Division (the "Division") launched the LOPR Notification Portal (the "Portal"). The Portal (<https://lopr.m-x.ca/user/login>) is a web-based reporting application to be used as a complement to the LOPR reportable mechanism to provide supplemental information in the following situations:

- To correct and explain erroneous or incomplete LOPR reports previously filed within the prescribed deadline
- To correct and explain erroneous or incomplete LOPR reports not filed within the prescribed deadline
- To submit and explain LOPR reports not filed due to technical issues
- To submit and explain LOPR reports not filed within the prescribed deadlines
- To notify replacement or changes of unique identifiers

The Portal does not replace the prescribed manner in which LOPR reports must be submitted on a daily basis. However, in instances where technical issues prevent the transmission of the report in the prescribed manner, the Division will not impose fees if the Approved Participant, or its delegate, submits the LOPR report and an explanation via the Portal before the prescribed deadline.

Recent events have highlighted that some Participants do not have access to the Portal or that their access is not up to date. The Division recommends that all Participants ensure they have such access so that the Portal can be used if and when necessary.

The login credentials for the Portal consist of a username and password assigned by the Division to the Chief Compliance Officer of an Approved Participant.

Explanations to the functionalities of the Portal are available within the LOPR Notification Portal User Guide, which may be found with the following link: https://reg.m-x.ca/pdf/lopr_portal_guide_en.pdf.

For more information or for any questions pertaining to the Portal, please contact the Division by telephone at 514 787 6530 or toll-free in Canada and the US at 1 800 361 5353 ext. 46530, toll-free in Great Britain or France at 00 800 36 15 35 35 ext. 46530, or by email at lopr@tmx.com.

Julie Rochette
Vice-President and Chief Regulatory Officer
Bourse de Montréal Inc.