



CIRCULAR
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**SRO CONFERENCE 2004 –
CURRENT ISSUES IN SRO COMPLIANCE**

On January 29, 2004, Canada's self-regulatory organizations ("SROs") will again host a one-day conference for securities industry participants at the Holiday Inn on King in Toronto, Ontario.

The speakers program includes panel discussions on current issues such as the definition of what is the public interest and the privacy legislation impact on SROs and firms. A series of concurrent sessions led by SRO staff will provide detailed, practical and relevant information on common issues faced by industry participants.

Delegates can choose three of four concurrent sessions, focusing on enforcement, compliance and regulatory policies, as well as dedicated workshops offered by the Investment Dealers Association of Canada, the Mutual Fund Dealers Association, Bourse de Montréal Inc. and Market Regulation Services Inc. to help firms comply with new and continuing regulatory requirements.

Program and registration information for the conference are available online at each sponsoring SRO's website. Registration fee is \$ 280 plus applicable taxes.

For more information, please contact Jacques Tanguay, Vice-President, Regulatory Division, at (514) 871-3518 or by e-mail at jtanguay@m-x.ca

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