



**APPLICATION FORM**

List of persons eligible to act as a member of a  
Disciplinary Committee of Bourse de Montréal Inc. (the “**Bourse**”)

I, \_\_\_\_\_, the undersigned, wishes to be part of the List of persons eligible to act as a member of a Disciplinary Committee of the Bourse.

Article 4.203 a) i) of the Rules of the Bourse

1. a) Are you or have you been in the last three years a director, officer or partner of an approved participant of the Bourse?

- Yes
- No

b) If yes, please indicate the name of the approved participant, your role, your starting date and your leaving date, as the case may be:

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Article 4.203 a) ii) of the Rules of the Bourse

2. a) Are you retired ?

- Yes
- No

b) If yes, before your retirement, were you acting as a director, officer or partner of an approved participant?

- Yes
- No

c) If yes, please indicate the name of the approved participant, your role, your starting date and the date of your retirement:

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Article 4.203 b) of the Rules of the Bourse

3. a) Are you or have you been in the last three years an employee of the Bourse or of an approved participant of the Bourse?

- Yes  
 No

b) If yes, please indicate if it is the Bourse or an approved participant (if applicable, indicate the name of the approved participant), your role, your starting date and leaving date, as the case may be:

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4. a) Has one of your direct family member (spouse, father, mother, children, brother, sister, father-in-law, mother-in-law, son-in-law, daughter-in-law, brother-in-law, sister-in-law, or any other person sharing your residence) been in the last three years, or is currently a member of the senior management (president or vice-president of the board, president, vice-president, member of the management) of the Bourse or of an approved participant of the Bourse?

- Yes  
 No

b) If yes, please indicate the name of the family member, his role, the name of the approved participant, his starting date and his leaving date, as the case may be:

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5. a) During the last three years, have you or your spouse, minor child or your spouse's minor child, or your child or your spouse's child sharing your residence, minor or not, accepted consulting, advising or other fees (except the fees received as a member of the board or a committee of the board) from the Bourse or from an approved participant of the Bourse?

- Yes  
 No

b) If yes, please indicate which fees were paid, if they are fees paid by the Bourse or by an approved participant of the Bourse (if applicable, indicate the name of the approved participant), the payment date and the reasons for the payment of those fees:

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6. Are you willing to take on the role of president during a hearing?

- Yes  
 No

7. Are you able to participate to a hearing, whether it is in English or in French?

French

- Yes  
 No

English

- Yes  
 No

### ATTESTATION

I, \_\_\_\_\_, declare that all the information contained in that form is complete and accurate. I also authorize the Bourse to use this information for the purpose of determining my eligibility to be part of the List of persons eligible to act as a member of a Disciplinary Committee and if so, to form Disciplinary Committee panels from time to time and to communicate that information to members of the Special Committee of the Division.

Signature : \_\_\_\_\_

Date : \_\_\_\_\_