

**POLICY C-4**

**ESTABLISHING AND MAINTAINING ADEQUATE INTERNAL CONTROLS**

(26.01.96, 08.05.03, abr. 22.03.10)

**I. CAPITAL ADEQUACY**

(abr. 22.03.10)

**II. INSURANCE**

(abr. 22.03.10)

**III. SEGREGATION OF CLIENTS' SECURITIES**

(abr. 22.03.10)

**IV. SAFEKEEPING OF CLIENTS' SECURITIES**

(abr. 22.03.10)

**V. SAFEGUARDING OF SECURITIES AND CASH**

(abr. 22.03.10)