

CIRCULAR 006-23

January 10, 2023

THE REGULATORY DIVISION PARTICIPANT SCORECARD


The Regulatory Division (the “Division”) is pleased to issue the Regulatory Division Participant Scorecard (the “Scorecard”) for the period of July 1 to December 31, 2022. The Scorecard is a way for the Division to share its observations with Approved Participants and Foreign Approved Participants (collectively, the “Participants”) for informational purposes only. Some Participants will not receive a Scorecard, as it may not be customized to their business model or activities.

Participants, through their Designated Representatives, Chief Compliance Officers, and Portal Administrators, may access the content of their Scorecard via the [Participant Portal](#), including its methodology from the “Related” tab.

Related Details

Files (1)

Add Files

 Scorecard Methodology 2022 H1 (1)

14-Jul-2022 • 106KB • pdf

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Any comparison to other Participants’ practices or level of compliance is made on an anonymous basis.

For more information or for any questions, please contact the Regulatory Division:

- info.mxr@tmx.com
- 514-787-6530
- Toll-free from Canada and US at 1-800-361-5353 extension 46530
- Toll-free from the UK and France at 00 800 36 15 35 35 extension 46530

Karen McMeekin
Vice-President and Chief Regulatory Officer
Bourse de Montréal Inc.