

**CIRCULAR 010-24**

January 30, 2024

**HEARING BEFORE THE DISCIPLINARY COMMITTEE  
OF BOURSE DE MONTRÉAL INC.**

**DESJARDINS SECURITIES INC. AND ANTOINE MORRISSETTE-BOILEAU**

The Disciplinary Committee of Bourse de Montréal Inc. (the “**Bourse**”) will hold a hearing on **February 29, 2024 at 9:30 a.m.** by videoconference, in order to decide on the acceptance of a settlement agreement negotiated between the staff of the Regulatory Division of the Bourse and Desjardins Securities Inc. (“**DSI**”) and Antoine Morrissette-Boileau (“**Mr. Morrissette-Boileau**”), in connection with a disciplinary complaint filed against DSI and Mr. Morrissette-Boileau.

The proposed settlement agreement concerns allegations that:

1. During the period from November 15, 2017 to November 16, 2018, Mr. Morrissette-Boileau, an Approved Person of the Bourse, contravened article 6306 - “Manipulative or Deceptive Methods of Trading” of the Rules of the Bourse (the “**Rules**”), by engaging in 213 instances of trading of certain CGB and CGF futures negotiated at the Bourse in violation of this article.
2. During the period from November 15, 2017 to November 16, 2018, DSI contravened article 3011 - “Surveillance and Compliance” of the Rules, as it did not establish and maintain a system to supervise the activities of each of its employees and Approved Persons that was reasonably designed to achieve compliance with the Rules, more specifically as it did not have a fully functional surveillance system in place reasonably designed to prevent or detect manipulative or deceptive methods of trading on CGB and CGF Futures negotiated at the Bourse.

In accordance with article 4.302 of the Rules, this hearing will not be open to the public.

For further information, please contact the legal affairs of the Regulatory Division of the Bourse by e-mail at [mxrlegal@tmx.com](mailto:mxrlegal@tmx.com).

Adam Allouba  
Chief Legal Officer