

CIRCULAR 069-21 April 22, 2021

OFF-EXCHANGE TRANSFERS PUBLICATION OF GUIDELINES

The Regulatory Division of the Bourse de Montréal inc. has updated Guidelines on off-exchange transfers (the "Guidelines") describing what constitutes a permissible off-exchange transfer of Listed Products. The Guidelines also provide the requirements applicable to specific situations and the off-exchange transfer process.

A copy of the Guidelines is attached (Annex 1) to this circular and will be available on the <u>website</u> of the Regulatory Division.

For more information or for any questions, contact the Regulatory Division, at 514 787 6530 or toll-free in Canada and the US at 1 800 361 5353 ext. 46530, toll-free in Great Britain or France at 00 800 36 15 35 35 ext. 46530, or by email at info.mxr@tmx.com.

Julie Rochette Vice President and Chief Regulatory Officer Bourse de Montréal Inc.