

CIRCULAR 075-25 June 20, 2025

CALL FOR MEMBERS – WORKSHOP REGULATORY FRAMEWORK APPLICABLE TO APPROVED PERSONS

The Regulatory Division of Bourse de Montréal Inc. (the "Division") is organizing a virtual workshop scheduled for July 14, 2025, from 10:00 AM to 12:00 PM (ET) providing stakeholders an opportunity to discuss the regulatory framework applicable to Approved Persons and exchange insights with peers and the Division. On May 29, 2025, the Division published a consultation paper (<u>Circular 068-25</u>) and this workshop is part of the consultation process.

Please note that discussions during this workshop will complement, but not replace, written comments which must be submitted no later than July 31, 2025 in response to the consultation paper.

Representatives nominated for this workshop should actively participate in the discussion to provide feedback and make suggestions that the Division should take into consideration when reviewing the Approved Persons regulatory framework.

When nominating representatives for this workshop, Approved Participants are requested to identify individuals within their firm who are familiar with the Approved Persons application process and/or who understand the applicable regulatory requirements. Each firm may nominate up to two individuals, but the Division will consider requests to have more representation from a firm.

Nominations must be submitted no later than July 7, 2025, by email to <u>info.mxr@tmx.com</u>. Please include the names, the firm represented, titles and functions of the nominated individuals. The Division reserves the right to confirm or decline nominations at its discretion. Participation in the workshop will be limited to individuals whose nominations have been confirmed by the Division. An invitation and meeting link will be provided in due course.

Karen McMeekin President, Regulatory Division of Bourse de Montréal Inc.

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