

**CIRCULAR 092-19** June 21, 2019

## REGULATORY DIVISION'S PARTICIPANT PORTAL - IMPORTANT REMINDER

On February 11, 2019, the Regulatory Division (the "Division") announced the launch of the Participant Portal (the "Portal"). The Portal will allow participants to electronically submit approval and transfer requests for approved persons, uniform termination notices (UTNs), approval and transfer requests for MX-ID identifiers, notices of non-compliance (Gatekeeper), corrections to the identification of orders and secure transfer of documents between the Division and participants.

The Division had wished to complete the integration no later than March 31, 2019. Since the publication of the circular, the Division has e-mailed each participant to collect a list of their mandatory contacts for the Portal, particularly to provide access to the Portal. To date, some approved participants have not yet responded to the Division.

In order to promote a harmonious integration of the new tool, the Division is extending the integration period of the Portal, which must be completed <u>no later than June 28, 2019</u>.

## **IMPORTANT REMINDER**

Each participant must have completed the migration to the Portal <u>no later than June 28, 2019</u>. After this date, for all available forms, only requests submitted to the Division via the Portal will be processed.

For more information, please contact Katy Samson, CAPM, Project Manager, at 514.787.6516, or by email at <a href="mailto:katy.samson@tmx.com">katy.samson@tmx.com</a> or Nathalie Tessier, Senior Coordinator, at 514.787.6552 or by email at <a href="mailto:nathalie.tessier@tmx.com">nathalie.tessier@tmx.com</a>.

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