

CIRCULAR 097-20

May 28, 2020

**HEARING BEFORE THE DISCIPLINARY COMMITTEE
OF BOURSE DE MONTRÉAL INC. – OFFER OF SETTLEMENT**

TRADELINK L.L.C.

The Disciplinary Committee of Bourse de Montréal Inc. (the “Bourse”) will hold a hearing on **July 14, 2020 at 9:30 a.m.** by video conference, in order to adjudicate an offer of settlement negotiated between the staff of the Regulatory Division of the Bourse and TradeLink L.L.C. (“TradeLink”), in connection with a disciplinary complaint filed against TradeLink.

The proposed offer of settlement concerns the following allegation:

- During the period from March 24, 2017 to June 27, 2018, TradeLink contravened article 6366 A - “Access to Electronic Trading” (now article 3.4) and 7403 - “Application for Approval as an Approved Person” (now article 3.400) of the Rules of the Bourse, by providing access to one (1) of its employees to the electronic trading system of the Bourse without having obtained the prior approval of the Bourse.

In accordance with article 4.254 of the Rules of the Bourse, this hearing will not be open to the public until the offer of settlement has been accepted by the Disciplinary Committee of the Bourse.

For further information, please contact Ms. Claude Baril, Director, Enforcement and Regulatory Affairs at 514-871-3595 or by e-mail at claude.baril@tmx.com.

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