



<input checked="" type="checkbox"/>	Trading – Interest Rate Derivatives	<input checked="" type="checkbox"/>	Back-office - Options
<input checked="" type="checkbox"/>	Trading – Equity and Index Derivatives	<input checked="" type="checkbox"/>	Technology
<input checked="" type="checkbox"/>	Back-office – Futures	<input checked="" type="checkbox"/>	Regulation

**CIRCULAR**  
August 1, 2013

**NEW FOREIGN APPROVED PARTICIPANT  
SMBC CAPITAL MARKETS, INC.**

On July 30, 2013, the application of SMBC Capital Markets, Inc. to join Bourse de Montréal Inc. as a foreign approved participant was approved by the Special Committee – Regulatory Division of Bourse de Montréal Inc. The head office of SMBC Capital Markets, Inc. is located at 277 Park Avenue, 5th Floor, New York, NY 10172. The telephone number is 212 224-5100 and the fax number is 212 224-4948.

SMBC Capital Markets, Inc. operates as a “Proprietary Trading Firm” duly registered with the National Futures Association (NFA) as Swap Dealer.

Mr. Naoya Miyagaki, President, will act as the designated representative.

For further information, please contact Mr. Francis Larin, Director and Legal Counsel, Regulatory Division, at 514 871-3516, or by e-mail at [flarin@m-x.ca](mailto:flarin@m-x.ca).

M<sup>c</sup> Pauline Ascoli  
Vice-President, Legal Affairs, Derivatives

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