



CIRCULAR 144-18

August 29, 2018

INTEGRATION OF THE BLOCK TRADE REPORTING FORM INTO THE SPECIAL TERMS TRANSACTION REPORTING FORMS AND ISSUANCE OF NEW USER GUIDE

Bourse de Montréal Inc. (the «Bourse») hereby announces that, effective on **September 28th, 2018**, the Block Trade Reporting Form will be fully integrated into the Special Terms Transaction Reporting Form (STTRF). Henceforth, participants will only have access to the Block Trade Reporting Form via the STTRF (<https://sttrf-frots.m-x.ca/>) using their respective login credentials. The “smart forms” are interactive and serve to minimize the amount of potential erroneous or rejected submissions.

In an attempt to familiarize Participants, the Bourse intends to make the Block Form visible within the STTRF as of **August 30, 2018**. Note that no block submissions should be made via the STTRF prior to the effective date; Block trades submitted via the STTRF before the effective date will not be accepted. As of the effective date, the Block Trade Reporting Form URL (https://www.m-x.ca/rob_formulaire_en.php) will direct users to the STTRF in order to access the Block Trade Reporting Form.

Chief Compliance Officers (CCO) of approved participants should already be in possession of STTRF Administrator login credentials ; otherwise such credentials may be obtained from the Montréal Exchange by communicating with monitoring@tmx.com. The CCO may delegate their Administrator privileges to whomever they deem appropriate. If Approved Persons do not already have login credentials for the STTRF, they must request them from their respective CCO/ Administrator. CCOs/Administrators should only create login credentials for those individuals that are authorised to submit block trades and special term transactions (EFP/EFR/RBC).

As of the September 28th, 2018 effective date all block trades must be submitted using the Block Trade Reporting Form available within the STTRF **before** contacting the Market Operations Department (MOD).

In preparation of the mandatory use of the STTRF to access the Block Trade Reporting Form, it was deemed necessary to issue an updated version of the STTRF User Guide (the Guide).



Explanations pertaining to the integration of the Block Trade Reporting Form into the STTRF have been compiled within the guide, thus providing approved participants with a unique source of information. The Guide (attached) will be available under the following link https://sttrf-frots.m-x.ca/pdf/sttrf_manual_en.pdf as of **August 30, 2018**. The Guide contains information on accessing the STTRF, accessing the new Block Trade Reporting Form, navigating the STTRF and the submission process.

Inquiries pertaining to the integration of the Block Trade Reporting Form into the STTRF and to the Guide should be directed to the MOD. For further information, please contact **Eyal Benezra, Market Supervisor**, at 1-888-693-6366 or by email at eyal.benezra@tmx.com.

Antonio Discenza
Director, Market Operations