

CIRCULAR 212-21 December 20, 2021

FREQUENTLY ASKED QUESTIONS – REPORTS OF ACCUMULATED POSITIONS

The Regulatory Division (the "Division") of Bourse de Montréal Inc. (the "Bourse") has received questions regarding reports of accumulated positions also known as Large Open Position Reporting ("LOPR"). For example, Approved Participants and Foreign Approved Participants (collectively, the "Participants") are seeking clarification on whether omnibus account reporting is permitted and which parties are responsible for providing omnibus account beneficial owner and position information.

This circular is intended to provide clarity to Participants of the Bourse by answering a series of frequently asked questions (the "FAQ") on Article 6.500 of the Rules of the Bourse. The FAQ is available <u>here</u>.

For more information or for any questions, contact the Regulatory Division, at 514-787-6530 or toll-free in Canada and the US at 1-800-361-5353 extension 46530, toll-free in Great Britain or France at 0800 361 5353 extension 46530, or by email at <u>info.mxr@tmx.com</u>.

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