



## ADDITIONAL INFORMATION

(For an applicant as Foreign Approved Participant who is not registered with a securities or derivative instruments regulator, or a recognized self-regulatory organization, pursuant to Rule Three of the Bourse)

1. Name of applicant: \_\_\_\_\_

2. Head Office address: \_\_\_\_\_

\_\_\_\_\_

Telephone: \_\_\_\_\_

Fax: \_\_\_\_\_

Website address: \_\_\_\_\_

3. Reason(s) for unregistered status: \_\_\_\_\_

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4. Provide a copy of the applicant's policies and procedures manual pertaining to derivatives instruments, including supervision.
  
5. Provide written details pertaining to the applicant's risk management and supervisory controls, policies and procedures, the authorization to set or adjust these risk management and supervisory controls, policies and procedures, as well as the use of automated order systems, pursuant to Regulation 23-103 (please refer to Circular no 012-13 published by the Bourse on January 16, 2013 and available on the Bourse's website at [http://www.m-x.ca/f\\_circulaires\\_en/012-13\\_en.pdf](http://www.m-x.ca/f_circulaires_en/012-13_en.pdf)).
  
6. Provide a detailed description of the applicant's expected order flow(s) to the Bourse:

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The undersigned hereby certifies that the foregoing statements are true and correct and hereby undertakes to notify Bourse de Montréal Inc. (the Bourse) in writing of any material change within ten (10) days of the occurrence of any such change.

Dated at \_\_\_\_\_, this \_\_\_\_\_ day of \_\_\_\_\_ 20\_\_\_\_.

\_\_\_\_\_  
Name of the applicant

\_\_\_\_\_  
Signing Officer

\_\_\_\_\_  
Signing Officer